

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
Kui Wong YEUNG)	Group Art Unit: Unassigned
Application No.: Unassigned)	Examiner: Unassigned
Filed: November 17, 2003)	Confirmation No.: Unassigned
For: AIR-VENTILATOR WITH HIGH)	
EFFICIENCY THERMAL EXCHANGER)	
AND AIR FILTER)	

FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98, and a copy of the International Search Report issued for the corresponding PCT application of the parent application .

All of the listed documents were made of record by Applicant or by the Examiner in prior Application Serial No. 09/960,387,387, filed September 24, 2001, upon which Applicant relies for the benefits provided in 35 U.S.C. § 120.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date November 17, 2003

By: 

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FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	Unassigned
Filing Date	November 17, 2003
First Named Inventor	Kui Wong YEUNG
Examiner Name	Unassigned
Attorney Docket Number	007198-550

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	4,377,400		Okamoto et al	03-22-1983
	4,429,735		Nomaguchi et al	02-07-1984
	4,655,278		Sequin	04-07-1987
	4,874,042		Becker	10-17-1989
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	6,156,089		Stemmer et al	12-05-2000
	4,513,809		Schneider et al	04-30-1985

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No
	0855560		EP	07-29-1998		
	99/60307		WO	11-25-1999		
	0942237		EP	09-15-1999		
	8-54131		JP	02-1996		
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	2222875		GB	03-21-1990		
	8-224431		JP	09-03-1996		

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	ASHRAE Handbook, 1983 Equipment Volume, pp. 3.1 – 3.11 (1983), ASHRAE Publications, Atlanta, Georgia.

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Substitute for form 1449A/PTO & 1449B/PTO			Complete if Known		
FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	Unassigned	
			Filing Date	November 17, 2003	
			First Named Inventor	Kui Wong YEUNG	
			Examiner Name	Unassigned	
Sheet	2	of	2	Attorney Docket Number	007198-550

U.S. PATENT DOCUMENTS				
Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	5,070,934		Kucharczyk	12-10-1991
	4,971,137		Thompson	11-20-1990
	6,345,510		Shiuan	02-12-2002
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Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No
	3-87534		JP	04-12-1991		
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	8-5091		JP	01-12-1996		
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	62-293032		JP	12-19-1987		
	59-145431		JP	08-20-1984		

NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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